

2021 Quadrilateral Conference

**First Session: Thursday, March 4, 2021
8:00 am – 10:00 am (New York time)**

Speaker Profiles

Caroline Boon
Barclays

Caroline Boon is a Director in the Strategy and Bank Structure Legal department at Barclays. She provides legal and strategic regulatory advice in relation to Barclays’ group-wide projects, including the Barclays’s response to the EU referendum, the Covid-19 response, recovery and resolution planning, ring-fencing, OCIR and the creation of a new outsourcing entity. Prior her current role, she worked in the Regulatory Policy legal team, and the Debt Capital markets legal team in the Barclays investment bank. Ms. Boon began her legal career as a trainee and financing associate at Slaughter and May. She is a member of the European Financial Markets Lawyers Group.

Martha Burke
Mitsubishi UFJ Financial Group, Inc.

Martha Burke is an Assistant General Counsel at Mitsubishi UFJ Financial Group, Inc. (“MUFG Americas”). She has served in that role since July 2019, and supports fixed income and foreign exchange businesses based in the United States and the United Kingdom. Prior to her current role, Ms. Burke held positions at a number of both buy and sell-side financial institutions as transaction counsel in derivatives, cross-border regulatory compliance and risk, and legal manager, based in New York, San Francisco, Sydney, and Singapore. Ms. Burke holds degrees from Smith College and the University of Oregon School of Law. She is a member of the Financial Markets Lawyers Group and previously served as its Treasurer.

Asmaa Cheikh
Société Générale

Asmaa Cheikh is a Director in the Banking and Regulatory legal department at Société Générale. A key area of recent focus has been on Recovery and Resolution legal frameworks as envisaged by the EU Bank Recovery and Resolution Directive. She has managed projects on banking law issues and held lectureships at Université Paris 1 Panthéon Sorbonne and Université Nice Sophia Antipolis. Ms. Cheikh is a member of the European Financial Markets Lawyers Group.

Otto Heinz*European Central Bank*

Otto Heinz is the Head of the Financial Law Division of the European Central Bank, a member of the Legal Committee of the Central Banks of the EU, Chairman of the Eurosystem's Financial Law Experts Group, and Chair of the European Financial Market Lawyers Group. He previously worked for several years in U.S. bulge bracket investment banks in London as a Director in charge of debt and equity capital markets and M&A activities. He taught at the London School of Economics and the Central European University. Mr. Heinz holds a B.A. in Economics from the Budapest University of Economics, a J.D. from ELTE University Budapest, an LL.M. in German Law from the University of Trier, and an LL.M. in European Law from the University of Oxford.

Carolyn Jackson*Katten Muchin Rosenman UK LLP*

Carolyn Jackson is a partner at Katten Muchin Rosenman UK LLP, where she specializes in complex cross-border issues involving the regulation of financial institutions and financial products, including derivatives. She previously managed the New York trading desk of several investment banks and was the executive director of the International Swaps and Derivatives Association, Inc. Ms. Jackson holds degrees from the University of Virginia, the University of Rochester, and Fordham University School of Law, and is a member of the Financial Markets Law Committee.

Emilio Jiménez*JPMorgan Chase & Co.*

Emilio Jiménez is a Managing Director and Associate General Counsel at JPMorgan's Corporate and Investment Bank, where he co-heads legal coverage for the fixed income, rates and currencies businesses in the Americas. He co-chairs the Legal Working Group of the Alternative Reference Rates Committee, which works to identify and address legal issues that could hinder the transition away from USD LIBOR. Mr. Jiménez holds degrees from Harvard University and New York University School of Law, and is a former member of the Financial Markets Lawyers Group.

Ida Levine*Impact Investing Institute*

Ida Levine is a board member at the Impact Investing Institute and Investor Forum, a principal at Two Rivers Consulting, and a member of the management board of P.R.I.M.E. Finance Foundation. She previously held positions as Board Director, Senior Vice President and Senior Counsel and Director of European Public Affairs at Capital Group and European Counsel at J.P. Morgan Investment Management and was a partner at international law firm Jones Day. She holds undergraduate and law degrees from the University of California, Los Angeles and is a member of the Financial Markets Law Committee.

Kunihiko Morishita*Anderson Mōri & Tomotsune*

Kunihiko Morishita is a partner at Anderson Mōri & Tomotsune, and has primarily worked in the fields of domestic and international securities and finance matters. He regularly advises a number of multinational financial institutions, located inside and outside of Japan, in relation to financial regulatory issues in the field of banking, securities, trust and asset management. Mr. Morishita holds LL.B. and LL.M. degrees from the Tokyo University. He co-chairs the Financial Law Board.

Michael Nelson*Federal Reserve Bank of New York*

Michael Nelson is a Senior Vice President at the Federal Reserve Bank of New York and leads the Bank's Government and Industry Relations Function. He serves as Chair of the Financial Markets Lawyers Group. He is a graduate of Harvard College and New York University School of Law.

Thomas Noone*Federal Reserve Bank of New York*

Thomas Noone is Counsel in the Bank Supervision and Markets Division of the Legal Group at the Federal Reserve Bank of New York. He serves as Secretary to the Financial Markets Lawyers Group and chairs the Education and Industry Forum on Financial Services Culture. Before joining the New York Fed, Mr. Noone was an associate at Davis Polk & Wardwell LLP and a law clerk for the Hon. José A. Cabranes of the United States Court of Appeals for the Second Circuit. He holds degrees from The Johns Hopkins University and Stanford Law School.

Joanna Perkins*Financial Markets Law Committee*

Joanna Perkins serves as Chief Executive of the Financial Markets Law Committee ("FMLC"). Before joining the FMLC in 2004, she worked for the Law Commission and managed a project on unfair contract terms. She has held a lectureship at Durham University and taught courses at Paris II (Panthéon-Assas), Université de Paris, St Peter's College, Oxford University, and Birkbeck College, University of London. She has published numerous articles on, *inter alia*, financial law, financial markets regulation and the conflict of laws. After completing a Doctorate in Law at Oxford University, she was called to the Bar in July 2001. She served as a Non-Executive Director of ICE Benchmark Administration Ltd and Chairperson for the Oversight Committee of ICE LIBOR from January 2014 until July 2015. Between 2010 and 2016, she practiced part-time as a barrister at South Square, focusing on financial transactions.

Barnabas Reynolds
Shearman & Sterling LLP

Barnabas Reynolds is head of Shearman & Sterling's global Financial Institutions Advisory & Financial Regulatory Group and is Global Co-Head of Financial Institutions for the firm. He advises the full range of financial market participants on their businesses in the London and European markets. His practice focuses on financial institution law and regulation and legal risk management, national and cross-border. Mr. Reynolds holds degrees from Queens' College and Downing College at the University of Cambridge, and is a member of the Financial Markets Law Committee.

Jeffrey Saxon
BNP Paribas

Jeff Saxon is a Managing Director and Senior Counsel in the Legal Department at BNP Paribas in New York. He advises on regulatory and trading-related legal matters in foreign exchange, commodities, credit, and interest rates. Mr. Saxon received a B.S. in Chemistry from the University of Virginia and a J.D. from Harvard Law School. He is a member of the Financial Markets Lawyers Group.

Steven Schwarcz
Duke University School of Law

Steven Schwarcz is the Stanley A. Star Distinguished Professor of Law & Business at Duke University and Founding Director of Duke's interdisciplinary Global Capital Markets Center (now renamed the Global Financial Markets Center). His areas of research and scholarship include insolvency and bankruptcy law, international finance, capital markets, systemic risk, corporate governance, and commercial law. Professor Schwarcz has also been the Leverhulme Visiting Professor at the University of Oxford, Visiting Professor at the University of Geneva Faculty of Law, Lecturer in Law at Columbia Law School, Senior Fellow at The University of Melbourne Law School, Visiting Fellow at Ludwig-Maximilians-Universität München (LMU) Center for Advanced Studies, Guest Professor at Shanghai University of International Business and Economics, Distinguished Visiting Professor at University College London (UCL) Faculty of Laws, Distinguished Honorary Professor, University of Durham Law School, the MacCormick Fellow at The University of Edinburgh School of Law, the Liberty Fellow at the University of Leeds School of Law, and an adviser to the United Nations. He holds a bachelor's degree in aerospace engineering from New York University and a Juris Doctor from Columbia Law School.

Lisa Shemie
Cboe Global Markets

Lisa Shemie is an Associate General Counsel at Cboe Global Markets and serves as Chief Legal Officer of each of Cboe's foreign exchange trading venues, Cboe FX Markets and Cboe SEF. She advises Cboe on all matters relating to foreign exchange, and also leads its cybersecurity and data privacy practice group. Ms. Shemie holds degrees from Concordia University and McGill University. She currently serves as co-Chair of the Market Structure Subcommittee of the CFTC's Market Risk Advisory Committee, and is a member of the Foreign Exchange Professionals Association and the Financial Markets Lawyers Group.

Diederik Van Impe
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Diederik Van Impe is the Global Head of Legal Financial Markets at ING, where he is responsible for the legal coverage of Financial Markets, Capital Markets and Group Treasury worldwide. Additionally, he is the legal lead for regulatory change projects such as Brexit and the IBOR transition. Prior to joining the ING head office in Amsterdam in 2011, Mr. Van Impe held senior legal roles at several international financial institutions in London. He holds degrees in law from Boston University and KU Leuven, was an Erasmus scholar at Université de Fribourg, and is a member of the European Financial Markets Lawyers Group.

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